

For Merchant Banking

Data for month ending October 2024

Sr. No.	Received from	Pending at the end of last month	Received	Total Pending	Resolved*	Total Pending#	Pending complaints > 3months	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	April 2024	0	0	0	0
2	May 2024	0	0	0	0
3	June 2024	0	0	0	0
4	July 2024	0	0	0	0
5	August 2024	0	0	0	0
6	September 2024	0	0	0	0
7	October 2024	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received	Resolved*	Pending#
1	2019-20	0	0	0	0
2	2020-21	0	0	0	0
3	2021-22	0	0	0	0
4	2022-23	0	0	0	0
5	2023-24	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous years resolved in the current year.

Inclusive of complaints pending as on the last day of the year.

For Main Board IPO

Data for month ending October 2024

Sr. No.	Received from	Pending at the end of last month	Received	Total Pending	Resolved*	Total Pending#	Pending Complaints > 3months	Average Resolution time [^] (in days)
1	Directly from Investors	0	0	0	0	0	0	Not Applicable
2	SEBI (SCORES)	0	0	0	0	0	0	Not Applicable
3	Stock Exchanges (if relevant)	0	0	0	0	0	0	Not Applicable
4	Other Sources (if any)	0	0	0	0	0	0	Not Applicable
	Grand Total	0	0	0	0	0	0	Not Applicable

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	April 2024	0	0	0	0
2	May 2024	0	0	0	0
3	June 2024	0	0	0	0
4	July 2024	0	0	0	0
5	August 2024	0	0	0	0
6	September 2024	0	0	0	0
7	October 2024	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received	Resolved*	Pending#
1	2019-20	0	0	0	0
2	2020-21	0	0	0	0
3	2021-22	0	0	0	0
4	2022-23	0	0	0	0
5	2023-24	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous years resolved in the current year.

Inclusive of complaints pending as on the last day of the year.

For Right Issue

Data for month ending October 2024

Sr. No.	Received from	Pending at the end of last month	Received	Total Pending	Resolved*	Total Pending#	Pending Complaints > 3months	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0	Not Applicable
2	SEBI (SCORES)	0	0	0	0	0	0	Not Applicable
3	Stock Exchanges (if relevant)	0	0	0	0	0	0	Not Applicable
4	Other Sources (if any)	0	0	0	0	0	0	Not Applicable
	Grand Total	0	0	0	0	0	0	Not Applicable

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	April 2024	0	0	0	0
2	May 2024	0	0	0	0
3	June 2024	0	0	0	0
4	July 2024	0	0	0	0
5	August 2024	0	0	0	0
6	September 2024	0	0	0	0
7	October 2024	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received	Resolved*	Pending#
1	2019-20	0	0	0	0
2	2020-21	0	0	0	0
3	2021-22	0	0	0	0
4	2022-23	0	0	0	0
5	2023-24	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous years resolved in the current year.

Inclusive of complaints pending as on the last day of the year.

For QIPs

Data for month ending October 2024

Sr. No.	Received from	Pending at the end of last month	Received	Total Pending	Resolved*	Total Pending#	Pending complains > 3months	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0	Not Applicable
2	SEBI (SCORES)	0	0	0	0	0	0	Not Applicable
3	Stock Exchanges (if relevant)	0	0	0	0	0	0	Not Applicable
4	Other Sources (if any)	0	0	0	0	0	0	Not Applicable
	Grand Total	0	0	0	0	0	0	Not Applicable

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	April 2024	0	0	0	0
2	May 2024	0	0	0	0
3	June 2024	0	0	0	0
4	July 2024	0	0	0	0
5	August 2024	0	0	0	0
6	September 2024	0	0	0	0
7	October 2024	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received	Resolved*	Pending#
1	2019-20	0	0	0	0
2	2020-21	0	0	0	0
3	2021-22	0	0	0	0
4	2022-23	0	0	0	0
5	2023-24	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous years resolved in the current year.

Inclusive of complaints pending as on the last day of the year.

For Pref. Issue

Data for month ending October 2024

Sr. No.	Received from	Pending at the end of last month	Received	Total Pending	Resolved*	Total Pending#	Pending complaints > 3months	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0	Not Applicable
2	SEBI (SCORES)	0	0	0	0	0	0	Not Applicable
3	Stock Exchanges (if relevant)	0	0	0	0	0	0	Not Applicable
4	Other Sources (if any)	0	0	0	0	0	0	Not Applicable
	Grand Total	0	0	0	0	0	0	Not Applicable

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	April 2024	0	0	0	0
2	May 2024	0	0	0	0
3	June 2024	0	0	0	0
4	July 2024	0	0	0	0
5	August 2024	0	0	0	0
6	September 2024	0	0	0	0
7	October 2024	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received	Resolved*	Pending#
1	2019-20	0	0	0	0
2	2020-21	0	0	0	0
3	2021-22	0	0	0	0
4	2022-23	0	0	0	0
5	2023-24	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous years resolved in the current year.

Inclusive of complaints pending as on the last day of the year.

For SME IPO & FPO

Data for month ending October 2024

Sr. No.	Received from	Pending at the end of last month	Received	Total Pending	Resolved*	Total Pending#	Pending complaints > 3months	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0	Not Applicable
2	SEBI (SCORES)	0	0	0	0	0	0	Not Applicable
3	Stock Exchanges (if relevant)	0	0	0	0	0	0	Not Applicable
4	Other Sources (if any)	0	0	0	0	0	0	Not Applicable
	Grand Total	0	0	0	0	0	0	Not Applicable

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	April 2024	0	0	0	0
2	May 2024	0	0	0	0
3	June 2024	0	0	0	0
4	July 2024	0	0	0	0
5	August 2024	0	0	0	0
6	September 2024	0	0	0	0
7	October 2024	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received	Resolved*	Pending#
1	2019-20	0	0	0	0
2	2020-21	0	0	0	0
3	2021-22	0	0	0	0
4	2022-23	0	0	0	0
5	2023-24	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous years resolved in the current year.

Inclusive of complaints pending as on the last day of the year.

For Buyback

Data for month ending October 2024

Sr. No.	Received from	Pending at the end of last month	Received	Total Pending	Resolved*	Total Pending#	Pending complaints > 3months	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0	Not Applicable
2	SEBI (SCORES)	0	0	0	0	0	0	Not Applicable
3	Stock Exchanges (if relevant)	0	0	0	0	0	0	Not Applicable
4	Other Sources (if any)	0	0	0	0	0	0	Not Applicable
	Grand Total	0	0	0	0	0	0	Not Applicable

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	April 2024	0	0	0	0
2	May 2024	0	0	0	0
3	June 2024	0	0	0	0
4	July 2024	0	0	0	0
5	August 2024	0	0	0	0
6	September 2024	0	0	0	0
7	October 2024	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received	Resolved*	Pending#
1	2019-20	0	0	0	0
2	2020-21	0	0	0	0
3	2021-22	0	0	0	0
4	2022-23	0	0	0	0
5	2023-24	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous years resolved in the current year.

Inclusive of complaints pending as on the last day of the year.

For Delisting

Data for month ending October 2024

Sr. No.	Received from	Pending at the end of last month	Received	Total Pending	Resolved*	Total Pending#	Pending complaints > 3months	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0	Not Applicable
2	SEBI (SCORES)	0	0	0	0	0	0	Not Applicable
3	Stock Exchanges (if relevant)	0	0	0	0	0	0	Not Applicable
4	Other Sources (if any)	0	0	0	0	0	0	Not Applicable
	Grand Total	0	0	0	0	0	0	Not Applicable

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	April 2024	0	0	0	0
2	May 2024	0	0	0	0
3	June 2024	0	0	0	0
4	July 2024	0	0	0	0
5	August 2024	0	0	0	0
6	September 2024	0	0	0	0
7	October 2024	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received	Resolved*	Pending#
1	2019-20	0	0	0	0
2	2020-21	0	0	0	0
3	2021-22	0	0	0	0
4	2022-23	0	0	0	0
5	2023-24	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous years resolved in the current year.

Inclusive of complaints pending as on the last day of the year.

For Takeover

Data for month ending October 2024

Sr. No.	Received from	Pending at the end of last month	Received	Total Pending	Resolved*	Total Pending#	Pending complaints > 3months	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0	Not Applicable
2	SEBI (SCORES)	0	0	0	0	0	0	Not Applicable
3	Stock Exchanges (if relevant)	0	0	0	0	0	0	Not Applicable
4	Other Sources (if any)	0	0	0	0	0	0	Not Applicable
	Grand Total	0	0	0	0	0	0	Not Applicable

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	April 2024	0	0	0	0
2	May 2024	0	0	0	0
3	June 2024	0	0	0	0
4	July 2024	0	0	0	0
5	August 2024	0	0	0	0
6	September 2024	0	0	0	0
7	October 2024	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received	Resolved*	Pending#
1	2019-20	0	0	0	0
2	2020-21	0	0	0	0
3	2021-22	0	0	0	0
4	2022-23	0	0	0	0
5	2023-24	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous years resolved in the current year.

Inclusive of complaints pending as on the last day of the year.

For Public Issue of Debt Securities

Data for month ending October 2024

Sr. No.	Received from	Pending at the end of last month	Received	Total Pending	Resolved*	Total Pending#	Pending complaints > 3months	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0	Not Applicable
2	SEBI (SCORES)	0	0	0	0	0	0	Not Applicable
3	Stock Exchanges (if relevant)	0	0	0	0	0	0	Not Applicable
4	Other Sources (if any)	0	0	0	0	0	0	Not Applicable
	Grand Total	0	0	0	0	0	0	Not Applicable

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	April 2024	0	0	0	0
2	May 2024	0	0	0	0
3	June 2024	0	0	0	0
4	July 2024	0	0	0	0
5	August 2024	0	0	0	0
6	September 2024	0	0	0	0
7	October 2024	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received	Resolved*	Pending#
1	2019-20	0	0	0	0
2	2020-21	0	0	0	0
3	2021-22	0	0	0	0
4	2022-23	0	0	0	0
5	2023-24	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous years resolved in the current year.

Inclusive of complaints pending as on the last day of the year.

For Public Issue of Non Convertible Redeemable Preference Shares (NCRPS)

Data for month ending October 2024

Sr. No.	Received from	Pending at the end of last month	Received	Total Pending	Resolved*	Total Pending#	Pending complaints > 3months	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0	Not Applicable
2	SEBI (SCORES)	0	0	0	0	0	0	Not Applicable
3	Stock Exchanges (if relevant)	0	0	0	0	0	0	Not Applicable
4	Other Sources (if any)	0	0	0	0	0	0	Not Applicable
	Grand Total	0	0	0	0	0	0	Not Applicable

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	April 2024	0	0	0	0
2	May 2024	0	0	0	0
3	June 2024	0	0	0	0
4	July 2024	0	0	0	0
5	August 2024	0	0	0	0
6	September 2024	0	0	0	0
7	October 2024	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received	Resolved*	Pending#
1	2019-20	0	0	0	0
2	2020-21	0	0	0	0
3	2021-22	0	0	0	0
4	2022-23	0	0	0	0
5	2023-24	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous years resolved in the current year.

Inclusive of complaints pending as on the last day of the year.

For Private Placement (PP) of Debt Securities and Non Convertible Redeemable Preference Shares (NCRPS)

Data for month ending October 2024

Sr. No.	Received from	Pending at the end of last month	Received	Total Pending	Resolved*	Total Pending#	Pending complaints > 3months	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0	Not Applicable
2	SEBI (SCORES)	0	0	0	0	0	0	Not Applicable
3	Stock Exchanges (if relevant)	0	0	0	0	0	0	Not Applicable
4	Other Sources (if any)	0	0	0	0	0	0	Not Applicable
	Grand Total	0	0	0	0	0	0	Not Applicable

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	April 2024	0	0	0	0
2	May 2024	0	0	0	0
3	June 2024	0	0	0	0
4	July 2024	0	0	0	0
5	August 2024	0	0	0	0
6	September 2024	0	0	0	0
7	October 2024	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received	Resolved*	Pending#
1	2019-20	0	0	0	0
2	2020-21	0	0	0	0
3	2021-22	0	0	0	0
4	2022-23	0	0	0	0
5	2023-24	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous years resolved in the current year.

Inclusive of complaints pending as on the last day of the year.