Oasis, Nautical & Cosmos Investment Advisory

PhillipCapital
Your Partner In Finance

Frequently Asked Questions (FAQ)

Q) What is PhillipCapital Oasis Investment Advisory?
PhillipCapital Oasis Investment Advisory is a service to advice on primarily a Large Cap oriented portfolio of stocks. This model portfolio product helps investors in building and tracking the portfolio of stocks for medium to long term. A few other Caps may be adviced during the journey of the product.

Q) What is PhillipCapital Nautical Investment Advisory?
PhillipCapital Nautical Investment Advisory is a service to advice on primarily a Mid & Small Cap oriented portfolio of stocks. This model portfolio product helps investors in building and tracking the portfolio of stocks for long term. A few large cap may also be held during the journey of the product.

Q) What is PhillipCapital Cosmos Investment Advisory?
PhillipCapital Cosmos Investment Advisory is a service to advice on a Flexi Cap model portfolio. This model portfolio product comprises of a Large Cap, Mid Cap and Small Cap stocks.

Q) How many stocks may be adviced to buy in PhillipCapital Oasis, Nautical & Cosmos Investment Advisory?
Oasis Investment Advisory is focused 9 to 15 stocks portfolio. Nautical Investment Advisory may have around 15 to 25 stocks. Cosmos a flexi cap investment advisory product may have around 18 to 25 stocks in the portfolio. Percentage of liquid component in the 3 Investment Advisory products may vary.

Q) Why is PhillipCapital Oasis, Nautical & Cosmos Investment Advisory important?
With the 3 Investment Advisory products PhillipCapital aims to provide flexibility to Investors to choose a Large Cap Oriented or a Mid&Small Cap oriented or a Flexi Cap portfolio. The stocks being adviced to buy in each product are picked based on various parameters but not limited to like competitive advantage, corporate governance and industry trends.

Q) What are different types of investment?
Investor can select from 3 types namely Lumpsum, Lumpsum with Simple Equity Plan (SEP) and Systematic Transfer Plan (STP).

Q) What is the investment approach of PhillipCapital Oasis, Nautical & Cosmos Investment Advisory?
We intend to advice in some of the well managed companies. It's a proven fact that in long term quality companies outperforms everything else. Our advisory services comes as an answer for investors who want to ride the way of long-term portfolio creation without the hassle of monitoring and the general cycle of greed/fear of the equity markets. .

Q) What are the features of Oasis, Nautical & Cosmos Investment "Advisory"?
- Flexible plans with Freedom of Entry / Exit of advisory services
- No Lock in
- Periodic performance Reporting Statement
- Stock selection based on sound fundamental parameters
- Hassle free advise towards Portfolio creation
- Disciplined tracking of advisory portfolio for exits

Q) Can the investor cherry pick stocks that Investment Advisory offer?
Investment Advisory offers leeway for the clients to select stocks. However, it is advisable to adhere to the timely investment advises for the investment approach to work at full potential.

Investment in securities market are subject to market risks. Read all the related documents carefully before investing. Registration granted by SEBI, membership of BASL (in case of IAs) and certification from NISM in no way guarantee performance of the intermediary or provide any assurance of returns to investors.

Frequently Asked Questions (FAQ)

Q) Why an Advisory service, when one invests only for long term?
An advisory service focuses on monitoring stocks with the help of research and provides continuous advice to clients. Fundamental research is purely long term in nature.

Q) Can client choose not to invest or exit a stock as advised in PhillipCapital Investment Advisory?
YES. Under advisory client is at full discretion to decide to invest or not to invest or exit a stock or full portfolio irrespective of the advise under Oasis, Nautical & Cosmos Advisory Portfolio

Q) Can a client Top Up / redeem after initial investment?
Yes the investor can top up / redeem

Q) What is ideal time period for investment?
We advise clients to remain invested for at least 3 years from the date of investment.

Q) What benchmark of Oasis, Nautical & Cosmos Investment Advisory?
Benchmark of Oasis is Nifty 100
Benchmark of Nautical is Nifty MidSmall 400
Benchmark of Cosmos is Nifty 500

Q) What is the minimum investment amount and can stocks be given by clients?
Minimum Investment is Rs 10 Lakh and increments of Rs 1 Lakh thereafter.
Yes, a portfolio of stocks can be given by client.

Q) How can clients register as a participant?
Client has to open an account with PhillipCapital which includes KYC, Risk Profiling & other documents along with investment amount.

Q) What are the advisory fees, exit load and transaction charges applicable for the product?
Please refer to Schedule 1 of the Advisory agreement & tariff sheet on the account opening form for complete details

Q) Are returns from Oasis, Nautical & Cosmos Investment Advisory guaranteed?
NO. PhillipCapital Investment Advisory doesn't offer guaranteed returns to the clients. Advise and investment into equity market is always subject to market risks and other risks. Please read the disclaimer as specified at the end of these FAQs as well as on our website. All investments involve a certain amount of risks, including the possible erosion of the principal amount invested.

Q) Oasis, Nautical & Cosmos Investment Advisory is suitable for?
- Clients looking for building a hygienic portfolio
- Taking medium risk
- Seeking to invest for more than 1 year
- Giving allocation to equity

Investment in securities market are subject to market risks. Read all the related documents carefully before investing. Registration granted by SEBI, membership of BASL (in case of IAs) and certification from NISM in no way guarantee performance of the intermediary or provide any assurance of returns to investors.

Frequently Asked Questions (FAQ)

Disclaimer – Investment in securities market are subject to market risks. Read all the related documents carefully before investing. Registration granted by SEBI, membership of BASL (in case of IAs) and certification from NISM in no way guarantee performance of the intermediary or provide any assurance of returns to investors.

Risk of loss in trading/investment can be substantial and even more than the amount / margin given by you. You should carefully consider whether trading/investment is appropriate for you in light of your experience, objectives, financial resources and other relevant circumstances. PhillipCapital and any of its employees, directors, associates, group entities, or affiliates shall not be liable for losses, if any, incurred by you.

You are further cautioned that trading/investments in financial markets are subject to market risks and are advised to seek independent third party trading/investment advice outside PhillipCapital/group/associates/ affiliates/directors/employees before and during your trading/investment. There is no guarantee/assurance as to returns or profits or capital protection or appreciation. PhillipCapital and any of its employees, directors, associates, and/or employees, directors, associates of PhillipCapital's group entities or affiliates is not inducing you for trading/investing in the financial market(s). Trading/Investment decision is your sole responsibility.

You must also read the Risk Disclosure Document and Do's and Don'ts before investing. Kindly note that past performance is not necessarily a guide to future performance.

For detailed Disclaimer, please visit our website: www.phillipcapital.in

PhillipCapital (India) Pvt Ltd (Registration- Non -individual)
No 1, 18th Floor, Urmi estate, 95, Ganpatrao Kadam Marg,
Lower Parel (West) Mumbai, 400013

Principal Officer: Mr Mrinal K Passi
Email id – iapo@phillipcapital.in
Contact No- 022 24831919

Customer Care Contact: 022 – 24831848 / 1800 221 331

E-mail:
-Client account queries & information: contact@phillipcapital.in
-Compliance Officer/Client Grievances: customeraffairs@phillipcapital.in

SEBI Registration No: INA000004518 (Validity- Perpetual)

SEBI Regional/local office address:
Securities & Exchange Board of India
SEBI Bhavan Plot No. C 4-A, G Block,
Bandra Kurla Complex.
Bandra East, Mumbai- 400051